

# CORPORATE GOVERNANCE AND RISK

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As part of its governance compliance, the Board of Directors should undertake a formal and rigorous annual evaluation of its own performance and that of its committees and individual directors.

## HOW EFFECTIVE IS YOUR:

### Due Diligence Process

Does it include:

- Pre-employment screening
- Integrity validation (Knowing your client and customer)
- Pre-deal assessments in relation to mergers and acquisitions
- Identifying risks in different and difficult jurisdictions?

### Anti-fraud and Security Risk Management

Does it include a:

- Fraud prevention check-up
- Anti-fraud policy
- Business ethics policy, including Code of Conduct and Whistleblowing
- Security policy
- Disaster Recovery / Business Continuity Contingency Plan?

### Money Laundering Policy (if applicable)

- Is your business “relevant” for the purposes of the legislation?
- If so, is your policy compliant?
- Are you aware of the legal requirements?
- Have you adopted a legal, regulatory and good practice framework?

### Whistleblowing Policy

- Do you use whistleblowing to ensure good governance and accountability?
- If so, have you reviewed your policy recently to provide assurance that it is current?
- How effective are your procedures?

KEYPOINT IS ABLE TO PROVIDE A TAILOR MADE SERVICE IN RESPECT OF ALL OF THE ABOVE ACTIVITIES.